RECEIVED

JAN 0 3 2003

Please type a plus sign (+) inside this box → +

TECH CENTER-1600/2900

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE fider the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/656,915
Filing Date	September 7, 2000
First Named Inventor	Benowitz, L.I.
Group Art Unit	1614
Examiner Name	To be assigned
Attorney Docket Number	701039-052260

	ENCLOSURES (check	all that apply)							
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group							
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter							
Amendment / Reply	Licensing-related Papers Petition								
After Final									
Affidavits/declaration(s)	Petition to Convert to a Provisional Application								
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):							
Express Abandonment Request	Terminal Disclaimer Request for Refund	Form PTO-1449; References marked AA, CA and CB; Certificate of Mailing; Return Receipt Postcard.							
X Information Disclosure Statement	CD, Number of CD(s)								
Certified Copy of Priority Document(s)	Remarks								
Response to Missing Parts/ Incomplete Application	The Commissioner is authorized to charge fee deficiencies to the NIXON PEABODY LLP								
Response to Missing Parts under 37 CFR 1.52 or 1.53									
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT									
Firm or Individual name David S. Resnick (Reg.No. 34,235) NIXON PEABODY LLP, 101 Federal Street, Boston, MA 02110 Signature									
Date 12/27/0	12								
CERTIFICATE OF MAILING									

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class

mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Melissa Kruggel

Typed or printed name

Signature



Docket No. 701039-052260

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE JAN 0 3 2003

TECH CENTER 1600/2900

Applicants:

Serial No.:

Benowitz, L.I.

09/656,915

Group: 1614

Filed:

September 7, 2000

Examiner: To be assigned

For:

METHODS AND COMPOSITIONS FOR MODULATING AXONAL GROWTH

OF CENTRAL NERVOUS SYSTEM NEURONS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is, on the date shown below, being: deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 12/27/02

Melissa Kruggel

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicants herewith submit the publications and/or patents shown on the attached form PTO-1449, for consideration by the Examiner in connection with the examination of the above-identified patent application.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

- X (1) within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or
- (2) within three months of the mailing date of the PCT International Search Report; or
- (3) after the period defined in (1) but before the mailing date of a Final

 Rejection or Notice of Allowance, and the requisite Certification or

 fee under Rule 1.17(p), namely \$240.00, is included herein; or

(4) after the mailing date of a **Final Rejection** or **Notice of Allowance**but before the payment of the **Issue Fee**, and the requisite
Certification, petition, and petition fee are included herein.

It is respectfully requested that each of the documents shown on the attached form(s) PTO-1449 be made of record in this application. Copies of these documents (CHECK ONE):

X are enclosed herewith; and
have been cited in the parent application, and are thus not being resubmitted herein.

A copy of the International Search Report from its corresponding PCT application is also enclosed herewith.

Early examination and allowance of the present application are respectfully solicited.

FEE AUTHORIZATION

Should any fees associated with the submission be required, the Commissioner is authorized to charge the missing fee to our Deposit Account No. 50-0850. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

David S. Resnick (Reg. 34,235)

NIXON PEABODY LLP 101 Federal Street

Boston, MA 02110

(617) 345-6057

Date: $\frac{12/27/02}{}$



RECEIVE 1 of 3

JAN 0 3 2003

TECH CENTER 1600/2900

FORM PTO-1449			I	OOCKET NO.: 052260	SERIAL NO.: 09/656,915			
INFORMA	TION	DISCLOSUR	E A	APPLICANT(S): Benowitz	, L.I.			
STATEMENT			I	FILING DATE: 9/7/00	GROUP NO.: 1614			
			UNITED ST	ATES PATENT DOCUM	ENTS			
EXAM. INITIALS		DOCUMENT NUMBER	DATE	INVENTOR/ASSIGNEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN	PATI	ENT DOCUM	1		T			
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO	
	AA	WO 99/11274	11 March 1999	PCT				
ОТ	HER	DOCUMENTS	(INCLUDING	AUTHOR, TITLE, DAT	E, PERTI	 NENT PAGE	S, ETC.)	
.)	CA Rowland-Gagné, et al., (1990), <i>Jour. of Neuro.</i> , Vol. 54, No. 2, 424-433							
	CB Volonté, Cinzia, (1993), Neuro. Letters, 159, 119-122							





Approved for use through 10/34/2662 OME 7651-0031 (A. U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE (A.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

Date

Date

Melissa Kruggel

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Information Disclosure Statement with attached Form PTO-1449 (3 pp); References marked AA, CA and CB; Transmittal Form (PTO/SB/21)(1 pg); Certificate of Mailing (1 pg.); and Return Receipt Postcard.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.